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Total # of Pages 10 (including this page)

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From : Melbia S. Dixon for William T. Ellis
Sender's Direct Dial : 202 295-4065
Date : January 31, 2008
Atty. Docket No.: 050851-0101
Application No.: 10/636,125
Filing Date: 08/07/2003
Title: Method of Enhancing Value of Pension Plan Assets
User ID.: 0251

MESSAGE:

Attached are the following documents to be filed at the USPTO in connection with the above-referenced case:

- Amendment & Reply Under 37 CFR 1.111(9 pgs.).

If there are any problems with this transmission or if you have not received all of the pages, please call 202.672.5485.

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Atty. Dkt. No. 050851-0101

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Robert B. PHELPS
Title: METHOD OF ENHANCING VALUE
OF PENSION PLAN ASSETS
Appl. No.: 10/636,125
Filing Date: 8/7/2003
Examiner: Vanel Frenel
Art Unit: 3627
Confirmation Number: 1568

CERTIFICATE OF FACSIMILE TRANSMISSION I hereby certify that this paper is being facsimile transmitted to the United States Patent and Trademark Office, Alexandria, Virginia on the date below. <u>Melba S. Dixon</u> (Printed Name) <u>Melba S. Dixon</u> (Signature) <u>1-31-2008</u> (Date of Deposit)

AMENDMENT UNDER 37 CFR 1.111

Mail Stop Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

This communication is responsive to the Non-Final Office Action dated December 27, 2007, concerning the above-referenced patent application.

Amendments to the Claims are reflected in the listing of claims which begins on page 2 of this document.

Remarks/Arguments begin on page 4 of this document.

Please amend the application as follows: